



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,446	09/08/2000	David E. Edgren	ARC 2762C1

CONFIRMATION NO. 1540

## FORMALITIES LETTER



\*OC000000005956450\*

Vandana Date  
Alza Corporation  
P O Box 7210  
Mountain View, CA 94043

Date Mailed: 04/11/2001



## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 11/27/2000 to the Notice to File Missing Parts (Notice) mailed 01/19/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice:

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/27/2001 NBERHE 00000006 011173 09657446

01-FC:105 130.00 CH

Practitioner's Docket No. ARC 2762C1

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Edgren, David E.; Wong, Patrick S.-L.; Bhatti, Gurdish K.; Li, Shu; and Skluzacek, Robert R.

Application No.: 09/657,446

Group No.: None

Filed: 09/08/2000

Examiner: Unknown

For: Extended Release Dosage Form

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)**

I hereby certify that the attached correspondence comprising:

Completion of Filing Requirements - Nonprovisional Application  
Associate Power of Attorney  
Copy of Notice of Incomplete Reply (Nonprovisional)  
return postcard

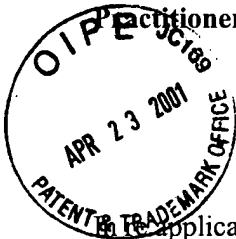
is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on Apr. 20, 2001.

Katrina M. Ghafghaichi

  
Signature of person mailing paper



Applicant's Docket No. ARC 2762C1

0300  
PATENT ✓ #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Edgren, David E.; Wong, Patrick S.-L.; Bhatti, Gurdish K.; Li, Shu; and Skluzacek, Robert R.

Application No.: 09/657,446

Group No.: None

Filed: September 8, 2000

Examiner: Unknown

For: Extended Release Dosage Form

Box Missing Part

Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice of Incomplete Reply (Nonprovisional) mailed April 11, 2001.

A copy of the Notice of Incomplete Reply (Nonprovisional) is enclosed.

DECLARATION OR OATH

II. The balance of the fee for late filing of the Declaration is enclosed. The signed Declaration was mailed November 20, 2000.

Adjustment date: 06/27/2001 MHASSANI  
04/27/2001 HBERNE 00000006 011173 09657446  
01 FC:105 130.00 CR

04/27/2001 HBERNE 00000077 011173 09657446  
01 FC:110 1390.00 CR

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 4/20/2001

FACSIMILE

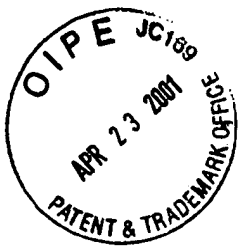
☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Katrina M. Ghafghaichi  
Signature

Katrina M. Ghafghaichi  
(type or print name of person certifying)

(Completion of Filing Requirements--Nonprovisional Application--page 1 of 7)

04/27/2001 HBERNE 00000006 09657446  
01 FC:110 390.00 CR



## COMPLETION FEES

### III.

#### 1. Surcharge Fees

Late payment of filing fee  
and/or late filing of original  
declaration or oath  
(37 C.F.R. Section 1.16(e))

\$130.00

Total Completion Fees      \$130.00

## EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(4), for four months.

Fee                      \$1,390.00

If an additional extension of time is required, please consider this a petition therefor.

## TOTAL FEE DUE

#### V. The total fee due is:

Completion fees	\$ 130.00
Extension fee (if any)	\$1,390.00
Total Fee Due	\$1,520.00

## PAYMENT OF FEES

VI. Charge Account No. 01-1173 in the amount of \$1,520.00

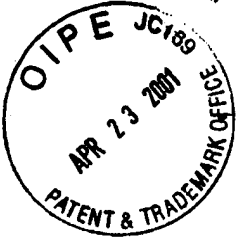
A duplicate of this request is attached.

Please charge Account No. 01-1173 for any fees that may be due by this paper.

### AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper.

Date: April 20, 2001



A handwritten signature in black ink, appearing to read "Robert R. Neller", written over a horizontal line.

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